Towards Clinical Trials in Fuchs Endothelial Corneal Dystrophy: Classification and Outcome Measures—The Bowman Club Lecture 2019

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ABSTRACT

The surgical treatment of Fuchs endothelial corneal dystrophy (FECD) has advanced dramatically over the last two decades. Penetrating keratoplasty has been superseded by various iterations of endothelial keratoplasty, and currently, surgical removal of host Descemet membrane without keratoplasty is being investigated. These surgical advances have been accompanied by significant improvement of our understanding of the underlying disease mechanisms, not least the discovery that FECD in western populations is predominantly an intronic trinucleotide repeat expansion disorder in the *transcription factor 4* gene that results in RNA toxicity and mis-splicing. Understanding the disease mechanisms augurs well for developing targeted molecular medical therapies, which will require careful clinical investigation through trials to prove their efficacy and safety. As the field advances towards clinical trials, investigators should carefully define the disease state being treated and consider the options for outcome measures relevant to the type of intervention. FECD, and the outcomes of interventions to treat the disease, can be measured in terms of corneal morphology, corneal function and clinical impact. Standardising the approach for defining FECD and careful thought about the outcomes of intervention that are reported will help make the results of future trials for FECD applicable in clinical practice.

TOWARDS CLINICAL TRIALS

Ernst Fuchs described *Dystrophia Epithelialis Corneae* in 1910 at which time he reported on scarred and vascularised corneas of 13 patients.¹ Without the aid of a slit-lamp, the changes he noticed were in the anterior cornea, and he presumed these changes were secondary to dysfunction of the endothelial cell layer. He originally discussed the condition in the 1902 Bowman Lecture, at which time he suggested that the endothelial layer may be dysfunctional and result in corneal oedema.² Guttæ were not described until 1916,³ after the introduction of the slit-lamp in 1911⁴; the presence of guttæ by slit-lamp examination remains the diagnostic feature

of Fuchs endothelial corneal dystrophy (FECD) to this day.

It took almost a century to make significant advances in the management and understanding of FECD, and these advances have been dramatic over the last two decades now resulting in converging modalities of treatment from surgical and scientific directions.^{5,6} The likelihood of evaluating potential new treatments for FECD in clinical trials in the near future is real, and thus it is important to be able to define the state of the disease being treated and the outcomes of intervention. This review will briefly discuss the background of surgical and scientific changes in the management of FECD, and consider various methods of evaluating FECD for its classification and the outcomes of intervention.

Surgical advances

Penetrating keratoplasty (PK) was the surgical procedure of choice for FECD for many decades. Based on the outcomes of PK, including limitations in vision from high and irregular astigmatism, and anisometropia, intervention for FECD was reserved for advanced disease when corneas were obviously oedematous and accompanied by impaired vision or even pain.^{4,7} The risk of spontaneous or traumatic wound dehiscence could also result in loss of the eye because of the large incision, and ocular surface healing and suture-related complications were not uncommon. The evolution of surgical techniques for FECD from large incision PK to small incision endothelial keratoplasty (EK)⁵ has been a major factor in advancing therapeutic options for FECD. EK has proven successful because of improved uncorrected and best-corrected visual outcomes without detrimentally affecting graft survival,^{8–10} that is, EK has provided long-term value in terms of surgical outcomes. The absence of

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large incisions and sutures has also reduced devastating complications. The success and safety of EK has therefore resulted in a lowering of the threshold of intervention¹¹ for FECD compared with when PK was the treatment of choice, akin to how small incision phacoemulsification lowered the threshold for intervening for cataract compared with large incision extracapsular cataract extraction.

Modifying surgical techniques is essentially unregulated, allowing surgeons to pioneer and refine procedures based on their clinical outcomes.^{12–14} For FECD, EK did not surpass PK because of the results of any carefully designed randomised controlled trial; instead, EK was adopted based on the results of retrospective, uncontrolled clinical series.^{15 16} Although randomised trials were attempted,¹⁷ the field evolved too rapidly for a specific trial to maintain relevance by the time results could be shared. Even though the outcomes of different retrospective series of EK have been convincing for surgeons to adopt the new techniques, the exact state of FECD being treated in each study was typically not well defined, with the inclusion criterion often broadly referred to as ‘Fuchs endothelial corneal dystrophy’ or ‘endothelial keratoplasty candidates’ or similar.^{18–20} This was not important at the time, but as new comparative studies are undertaken,²¹ potentially at earlier stages of FECD, there needs to be better definition of what is being treated to reduce heterogeneity, make meaningful interpretation of the results, and to apply the knowledge in clinical practice appropriately. Some EK series have provided more details about FECD severity,^{10 11} and with some investigators presently evaluating outcomes of newer surgical advances (stripping of the host Descemet membrane without replacement by a graft) often with adjuvant pharmacological therapies, the inclusion criteria have also become more detailed.^{22 23} Nevertheless, many of the methods used to define the treated state of FECD have limitations (see below) and could be improved.

Improved understanding of FECD disease mechanisms

At the same time that surgical treatments of FECD have advanced, our understanding of the basic pathophysiological mechanisms of the disease has rapidly improved too. The discovery of the major genetic association of FECD in western populations in 2010,²⁴ an intronic CTG-trinucleotide repeat expansion in the *transcription factor 4* gene,²⁵ has spurred new research to elucidate the downstream effects, which now appear to be mediated, at least in part, through RNA toxicity and mis-splicing events.²⁶ This has led to the possibility of halting or slowing the progression of the disease by using targeted molecular therapies, such as to block RNA toxicity.²⁷ The recognition of other downstream mechanisms in FECD, including repeat associated non-ATG translation,²⁸ the unfolded protein response²⁹ and oxidative stress,³⁰ may similarly enable novel targeted therapies. The ability to culture human corneal endothelial cells *ex vivo*³¹ has already led to promising clinical trials of cultured cell

injection therapy, merging advances in basic science with advances in surgical approaches.^{31 32} In addition, rho-kinase inhibitors have been used as adjuvant agents in culture media of cells for injection therapy,³² as well as topically for promoting endothelial healing after surgical stripping of Descemet membrane without concomitant keratoplasty.²³ In contrast to how surgical techniques have evolved, advancing novel non-surgical therapies requires careful scrutiny by ethics, funding and regulatory agencies to demonstrate safety and efficacy in trials. Again, this will require careful definition of the FECD disease state being treated with appropriate measures to determine the outcome of interventions.

CLASSIFICATION OF FECD

FECD spans a wide range of severity from mild, asymptomatic and inconsequential disease (previously sometimes referred to as *cornea guttata*⁴), to severe disease with pain, scarring, vascularisation and loss of vision requiring surgical intervention. It is therefore important to define what state of the disease is being treated in interventional studies. The onset of corneal oedema is gradual,^{33 34} occurs early in the course of FECD before it can be detected by slit-lamp examination (subclinical oedema),³⁵ and can remain in a chronic subclinical state before becoming manifest. This chronic state of subclinical oedema results in corneal structural changes that can persist, and might affect vision, even after restoring endothelial function by EK.^{36–39} These changes, notably subepithelial fibrosis,^{37 40} are more severe after subepithelial bullae develop. To broadly include all categories of FECD in a clinical trial is therefore not appropriate, because intervention is not required in some cases of FECD, and because the outcomes of an intervention are likely to differ based on the extent and chronicity of oedema, and possibly the distribution (area and confluence) of guttae.

Clinical staging

Several methods exist to classify FECD for clinical practice and research purposes. Adamis and colleagues described four clinical stages of FECD that aligned well with clinical decision-making in the era of PK, typically with intervention occurring when eyes reached stage 3, at which time oedema was visible and caused significant pain or impairment in vision.⁷ As EK has become the standard of care surgical treatment for FECD, the threshold for intervention has decreased enabling patients with milder symptoms to be treated earlier (well before stage 3) and with excellent outcomes.⁵ The staging proposed by Adamis and colleagues was based on patient symptoms as well as clinical signs; it assumed that stage 1 was asymptomatic (which is not always the case) and did not consider subclinical oedema, and thus is of little assistance to help determine when to proceed to EK. Most patients with FECD now receive EK during stages 1 and 2 of this classification when subclinical oedema may be present and sufficient to warrant intervention.

The severity of FECD is often graded clinically based on the extent and confluence of guttae, and the presence of corneal oedema. Krachmer and colleagues described five grades of disease, with the most advanced stage including corneal oedema.⁴¹ This scale implies that corneal oedema can only be present if central confluent guttae occupy an area >5 mm in widest diameter. A modified grading scale added a sixth grade of disease and suggested (somewhat unclearly in the text vs table) that oedema be reported separately to the grade, acknowledging that oedema is sometimes clinically visible with <5 mm of confluent guttae being present.⁴² Both of these grading scales provide morphological details of FECD, specifically the distribution of guttae, but they do not adequately address corneal endothelial function, or changes in function, by distinguishing between subclinical and clinically detectable oedema.³⁵ Furthermore, these scales are based on subjective clinical assessment, and can result in cornea specialists agreeing less than 50% of the time.³⁴ Photographic grading of guttae distribution is a more objective assessment of the distribution and extent of guttae,^{43 44} but this method is not simple to standardise within and between clinical practices, and does not help to define the presence or severity of corneal oedema.

Ancillary testing

Clinicians frequently obtain ancillary testing in their evaluation of FECD, especially central corneal thickness (CCT) and endothelial cell density, to help with clinical-decision making in FECD. However, neither test helps to determine the severity of FECD, although changes in CCT can identify clinical progression and response to intervention. Isolated measurements of CCT in FECD are unhelpful because the range of CCT in normal corneas is wide⁴⁵ and typically overlaps with CCT in FECD with no, subclinical, or clinically definite oedema.^{35 46} Although corneas with FECD can be much thicker than normal, these corneas usually also have clinically obvious oedema at slit-lamp examination, indicating the need for surgical treatment without CCT measurement. Therefore, proposed cut-off values of CCT to aid in clinical decision-making of when to intervene are of little importance and should not be considered,^{47 48} as this could erroneously lead to surgical intervention for some patients with naturally thick corneas, or lack of intervention for many patients with subclinical but significant oedema and thickness in an otherwise normal range.³⁵

Similarly, endothelial imaging has limited, if any, role in determining the severity of FECD because of the presence of guttae,⁴⁹ and should not be used for clinical-decision making.⁵⁰ When guttae are present, not all endothelial cells are visible for analysis (when guttae are confluent, no cells are visible for analysis), and quantifying cell density requires a specific analysis method that makes assumptions by accounting for the image area occupied by guttae.⁴⁹ Furthermore, there can be significant regional variation in the distribution of guttae such that cell density measurements of the same cornea

Figure 1 Endothelial photograph of a cornea with Fuchs endothelial corneal dystrophy with irregularly distributed guttae. Measuring endothelial cell density in the presence of guttae is inaccurate and unhelpful, because guttae obscure cells that may be overlying them and regional variations in guttae can lead to vastly different endothelial cell densities of the same cornea.⁴⁹

can vary widely depending on location and the method of measurement (figure 1). Ultimately, endothelial cell density does not always equate to endothelial function.

Revised classification

A revised and simplified classification of FECD incorporating Scheimpflug tomography (table 1) may be more objective and more clinically relevant as an indirect functional assessment of the corneal endothelium, especially in the current era of EK and with newer treatments on the horizon.³⁵ The diagnosis of FECD (presence of guttae) and the presence of clinically definite oedema are made by slit-lamp examination. Slit-lamp examination should rule out other corneal pathologies that might interfere with tomographic analysis. Tomographic analysis is performed when oedema is not clinically visible to assess for three features in the pachymetry map and posterior float patterns: irregular isopachs, displacement of the thinnest point of the cornea, and posterior surface depression towards the anterior chamber (figure 2). The classification is independent of CCT, easily obtained in most cornea clinical practices, and of high practical relevance to general ophthalmologists who may be the first to evaluate symptomatic patients with FECD or when needing to determine the surgical approach in the setting of a cataract. The presence of two or three tomographic features of subclinical oedema has been associated with a several fold-increased risk of disease progression over a median of 4 years compared with when one or no tomographic features are present (Patel SV *et al*; Prognosis of eyes with FECD based on Scheimpflug tomography as

Table 1 Revised classification of corneas with Fuchs endothelial corneal dystrophy (FECD) (reproduced from Sun *et al* with permission from Elsevier³⁵)

Classification*†	Required findings	
	Slit-lamp examination	Scheimpflug tomography‡
FECD with clinically definite oedema	Guttae present and typically confluent§; clinically visible corneal oedema¶ present	Not required; classification made by slit-lamp examination alone If obtained, tomography would typically show all three features of corneal oedema,** possibly with focal anterior elevation associated with any area of focal posterior depression
FECD with subclinical oedema	Guttae present and typically confluent,§ without clinically definite oedema	Required Shows the features of corneal oedema**; frequently all three features are present, and typically at least two features are present
FECD without oedema	Guttae present and could be non-confluent or confluent§ without clinically definite oedema	Required Shows no features consistent with corneal oedema, that is, appears consistent with a normal cornea
No FECD	No guttae	Not required

*Slit-lamp examination is required first to diagnose FECD by the presence of guttae and to determine if clinically definite edema is present. Tomography is only required for FECD without clinically definite edema.

†This classification is independent of central corneal thickness, traditional morphologic grading, and patients' visual dysfunction; however, the afore-mentioned characteristics may be considered as adjunctive information.

‡Assessment of the pachymetry and posterior corneal elevation maps (Pentacam HR; Oculus, Lynnwood, Washington, USA), typically found in the '4-Maps Refractive' display.

§Authors recommend that confluence be confirmed by specular reflection at slit-lamp examination; visible cells between guttae by this method indicates non-confluent guttae in that region of examination.

¶Clinically definite oedema is oedema that is obviously visible by slit-lamp examination based on thickening of the stroma (with a visible change in corneal contour of the anterior or posterior surface), Descemet or deep stromal folds, microcystic epithelial oedema or bedewing, or subepithelial bullae. The specific finding should be documented to support this classification.

**Specific features of tomographic corneal oedema are (1) loss of parallel isopachs, (2) displacement of the thinnest point of the cornea and (3) presence of focal posterior corneal depression.

part of a revised classification; ARVO E-Abstract #2223, 2019) (figure 3). Further analyses are needed to quantify the tomographic patterns, disease progression and sensitivity in assessing response to intervention. Nevertheless, this classification method may be the most relevant to date for clinical practice and clinical research.

Genetic classification of FECD will also be important for future clinical trials, either as an inclusion criterion, depending on the type of intervention, or for understanding the response to an intervention. Although approximately 75% of FECD patients in western populations have a trinucleotide repeat expansion disorder,²⁵ the genetic basis of the other 25% of FECD patients remains poorly characterised at this time.⁶ In addition, the clinical disease state at the time of intervention can be variable even for the same underlying genetic abnormality, and thus clinical classification of FECD is critical.

OUTCOME MEASURES

Future trials of interventions for FECD will require meaningful outcome measures, and the measures used will vary according to the nature of the specific intervention, for example, whether a graft is performed or not, whether central Descemet membrane and guttae are removed or not and so on. Regardless of the intervention, outcomes should include measures of corneal morphology, corneal function, and most importantly, clinical impact (table 2).

There should also be demonstration of a sustained effect over time to help assess long-term value of any specific intervention.

Corneal morphology

Endothelial cell density is a frequent outcome measure of corneal endothelial studies,^{51–53} often being considered as a surrogate for corneal endothelial function. Although lower endothelial cell density is usually associated with worse endothelial cell function, this is not always the case. Nevertheless, central endothelial cell density should continue to be measured, though results should be interpreted cautiously if guttae are still present post-intervention (ie, if Descemet membrane has not been surgically removed), as these will prevent accurate analyses (figure 1).⁴⁹ It is unknown if any treatments will result in the resolution of guttae (without excising host Descemet membrane) at this time; endothelial imaging might therefore be helpful to assess the confluency and area occupied by guttae before and after intervention. The latter will require sampling multiple regions of cornea because of regional variation of guttae⁴⁹; retroillumination photography may have a role too.^{43 44}

Peripheral endothelial cell density analysis⁵⁴ should also be considered depending on the type of intervention, but especially when migration of cells is expected from the periphery to the centre of the cornea.^{22 55} Peripheral

Before DMEK

After DMEK

Figure 2 Pachymetry (left) and posterior float (right) maps, derived from Scheimpflug tomography, of the same eye with Fuchs endothelial corneal dystrophy before and after Descemet membrane endothelial keratoplasty (DMEK). Subclinical oedema can be detected by the presence of irregular isopachs, displacement of the thinnest point of the cornea, and posterior float depression (towards the anterior chamber).³⁵ After DMEK, these changes have resolved resulting in normal pachymetry and posterior float maps.

endothelial cell density can be measured accurately preoperatively because these regions are usually devoid of guttae. Sustained health of the peripheral corneal endothelium in FECD is important, as poor peripheral endothelial cell reserve is associated with an increased risk of graft failure^{56–58} (it is unknown if this can be extrapolated to failure of non-graft interventions at this time). Changes in endothelial cell morphology, specifically a decrease in the percentage of hexagonal cells and an increase in the coefficient of variation of cell size, have provided limited information in long-term studies of corneal transplantation.⁵³ Such changes have been associated with hypoxia in the setting of contact lens wear,⁵⁹ and metabolic changes in the setting of diabetes,^{60 61} but it is unclear if they might be useful parameters to measure in studies of endothelial cell rejuvenation. All studies measuring corneal endothelial cell density and morphology require careful calibration of the specular or confocal microscope, and a consistent method of cell analysis that measures an adequate number of cells.^{62–64}

Corneal function

Ultimately, all interventions for FECD should improve corneal endothelial function. The simplest indirect measurement of corneal endothelial function is CCT. A decrease in CCT after an intervention indicates improved endothelial function, assuming tissue has not been added

2012

2018

Figure 3 Pachymetry (left) and posterior float (right) maps, derived from Scheimpflug tomography, of the same eye with Fuchs endothelial corneal dystrophy 6 years apart. In 2012, there was subtle nasal displacement of the thinnest point of the cornea with mildly irregular isopachs suggesting oedema was present inferotemporal to the centre of the cornea; there was no posterior float depression. In 2018, the thinnest point of the cornea was obviously displaced with profoundly irregular isopachs; obvious posterior float depression was present inferotemporal to the centre of the cornea, indicating progression of the disease.

or removed as part of the intervention. Increasing CCT after reaching steady-state after an intervention can be an excellent indicator of declining endothelial function with time,⁶⁵ irrespective of the intervention. CCT needs to be measured in a consistent manner, using the same instrument and ideally at the same location of the cornea; precision and accuracy vary between instruments.⁶⁶ Changes in pachymetry map and posterior float patterns derived from tomography might also provide useful information related to endothelial function.³⁵ These could indicate changes in the paracentral, and not just central, cornea that may be relevant depending on the type of intervention. Normalisation of these maps is definitely seen after Descemet membrane endothelial keratoplasty (figure 2),³⁵ and progression of FECD over the longer term can also be found (figure 3). Whether or not small changes in thickness that reflect subtle changes in endothelial function can be reliably detected with this method is uncertain at this time. In addition, detecting changes after Descemet stripping endothelial keratoplasty might be confounded by the irregular posterior surface shape⁶⁷ at steady-state.

True endothelial function studies involve measuring the percentage of recovery per hour of corneal thickness after induced swelling of the cornea.^{68 69} These studies take hours to complete and will not be convenient for trials

Table 2 Recommended measurements of Fuchs endothelial corneal dystrophy for clinical trials. Before intervention, some parameters help classify the disease state, and some are necessary to compare to post-intervention measures. Not all parameters may be necessary to measure, depending on the type of intervention.

	Before intervention (Classifying the disease state)	After intervention (Outcome measures)
Anatomy (endothelial morphology)	Guttae distribution* Peripheral ECD	Central ECD† or Guttae distribution* Peripheral ECD
Physiology (endothelial function)	Central corneal thickness‡ Tomography maps§ Corneal backscatter¶	Central corneal thickness‡ Tomography maps§ Corneal backscatter¶
Clinical impact	Best-corrected visual acuity Visual disability (PRO)** Other domains of vision††	Best-corrected visual acuity Visual disability (PRO)** Other domains of vision††

*Guttae distribution can include objective grading of the confluency and area of guttae from endothelial images (ideally if retaining host Descemet membrane) or subjective clinical grading (reasonable if host Descemet membrane will be removed). It is unknown if guttae distribution improves with any interventions that will retain host Descemet membrane at this time.

†Central ECD can be measured post-intervention if host endothelium has been removed (whether replaced with donor endothelium or not), but has limited role if guttae are present (pre-intervention or post-intervention).

‡Central corneal thickness does not classify the disease state, but changes after intervention are important for assessing corneal function.

§Pachymetry and posterior float maps derived from Scheimpflug tomography.³⁵

¶Corneal backscatter can be derived from Scheimpflug tomography^{39 69} and measurements should be standardised.⁷¹ The role of backscatter for disease classification is uncertain at present, but changes post-intervention can be indicative of changes in corneal function.

**The Visual Function and Corneal Health Status instrument has been validated for FECD.⁷⁷

††Consider low-contrast visual acuity and disability glare (straylight).

ECD, endothelial cell density; PRO, patient-reported outcome.

involving large numbers of subjects. Corneal backscatter derived from Scheimpflug tomography is associated with true corneal endothelial function,⁶⁹ although not highly predictive by itself, in part because the backscatter signal from corneal oedema is mixed with the backscatter signal from more permanent corneal structural changes.^{37 40} Determining backscatter accurately and prospectively requires standardisation measurements to account for variations in the light source intensity and camera sensitivity of the instrument.^{70 71} Nevertheless, changes in backscatter before and after an intervention can be indicative of changes in corneal function, and is easily measured with Scheimpflug tomography. Combining tomographic backscatter with information from tomographic pachymetry map and posterior float patterns should be investigated further as a composite indirect measure of endothelial function.

Clinical impact

Ideally, vision would be the primary outcome of all interventional trials for FECD because most patients will be seeking improved vision, and most treatments will be indicated to treat impaired vision (rather than pain). Measuring visual outcomes in the PK era was not easy because of variability in refraction caused by high and irregular astigmatism, and the need to account for the presence of any remaining sutures at the primary endpoint. EK advanced in part because surgeons pursued better vision outcomes, and although best-corrected visual acuity (BCVA) should be easier to measure after EK compared with after PK, few EK studies have measured visual acuity in a standardised and rigorous manner typically expected in research studies.⁷² Standardised

measurement of high-contrast BCVA should be one vision outcome of any new treatment for FECD; other facets of vision could be measured too, such as low-contrast visual acuity or disability glare.^{10 40 73 74}

Perhaps the most important assessment of visual function after any intervention for any disease is that reported by the patient. Similar to measuring patient-reported outcomes after cataract surgery,^{75 76} interventions for FECD should also measure the impact on visual disability and quality of life, as these improvements might not always be reflected by changes in visual acuity. The Visual Function and Corneal Health Status (V-FUCHS) instrument has been validated for assessing visual disability across a range of severity of FECD.⁷⁷ The instrument determines Rasch-based scores for two dimensions, visual acuity and glare, based on 15 self-administered or interviewer-administered questions. Patient-reported outcome measures related to vision should factor the status of the fellow-eye and any comorbidities affecting vision. Formal testing of V-FUCHS before and after intervention has yet to be undertaken.

Graft survival

Historically, the classic outcome measure for corneal transplant surgery has been graft survival, which is determined by the converse, graft failure.^{9 53 78} However, graft survival, or failure, is not ideal as an isolated outcome measure because patients receiving an intervention, and clinicians administering an intervention, are usually seeking better vision (rather than longevity of a graft that does not confer improvement in vision).⁷⁹ Ideally, an intervention for a disease would confer improved function that is sustained over a long period of time. Furthermore,

the definition of graft survival has varied between large studies, although sometimes to vaguely incorporate an accompanying detriment in vision.^{53 78 80–82} While graft survival should certainly continue to be an important outcome of interventions that involve a graft, it should not be the sole outcome measure and will not be relevant to interventions that do not involve a graft.

RECOMMENDATIONS

Several methods exist for assessing corneas with FECD. Tomographic pachymetry and posterior float maps provide a simple and relevant classification for clinical practice and research purposes (table 1). Genetic classification of FECD might prove to be important for understanding the response to certain interventions too. The disease state can also be characterised in terms of corneal morphology, corneal function and clinical impact, enabling the same or similar outcomes to be measured after intervention to determine efficacy (table 2). Although clinical impact outcomes are most important for patient care, corneal parameters should be measured to show the specific effect of interventions on the cornea; this is important when clinical outcomes might be confounded by concomitant treatments, such as concurrent cataract surgery. A standardised approach by investigators for defining the disease state being treated and careful thought about the outcomes reported will help to make the results of future trials for FECD applicable in clinical practice.

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